

**FINAL & INTERIM REPORTS ISSUED****01 September to 31 October 2018**

ref	2017/18 Audits	Risk	2018/19 programme days	Final Report Issued	2018/19 Days Taken	Recommendations Made					Audit Opinion
						No.	Risk Level				
						total	4	3	2	1	
02C	Corporate Equality & Diversity	4	35	25/10/18	46.24	9	0	8	1	0	little
09KF	Housing Rents	3	10	11/09/18	9.26	1	0	1	0	0	satisfactory
10KF	Income, Fees & Charges	3	5	30/10/18	6.83	1	0	0	1	0	Substantial
26O	Planning Enforcement	3	15	02/10/18	9.80	2	0	1	1	0	satisfactory
						13	0	10	3	0	

**PROGRESS ON THE AUDIT PROGRAMME 2017/18**

Internal Audit Programme 2018/19										
ref	Audit	2018/19 days	qtr	IA Risk 2018/19	Started	Draft	Final	Days Taken	Status	Comment
01C	Corporate Counter Fraud	5	1	2				0.00		
02C	<b>Corporate Equality &amp; Diversity</b>	35	1	4	04/04/18	17/10/18	25/10/18	46.24	final	
03C	Corporate Health & Safety	10	3	4				0.00		
04KF	HR & Payroll (combined)	15	2	4				0.00		
05KF	Budgets	5		3				0.00		
06KF	Cash & Bank	5		3				0.00		
07KF	Contracts & Procurement	10		3				0.00		
08KF	Creditors	10		4				0.00		
09KF	<b>Housing Rents</b>	10	2	3	02/08/18	31/08/18	11/09/18	9.26	final	
10KF	<b>Income Fees &amp; Charges</b>	5	1	3	26/07/18	17/10/18	30/10/18	6.83	final	
11O	Museum	5	1	2				0.00		
12O	Democratic Services	10	2	3	14/09/18			7.62	testing	
13O	<b>Legal Services</b>	10	1	4	20/04/18			3.68	testing	
14O	Community Safety	10		3				0.00		

**PROGRESS ON THE AUDIT PROGRAMME 2017/18**

ref	Audit	2018/19 days	qtr	IA Risk 2018/19	Started	Draft	Final	Days Taken	Status	Comment
150	<b>Environmental Health – Enforcement</b>	15	2	3	21/08/18	17/10/18		14.22	draft	
160	<b>Disabled Facilities Grants</b>	10	4	3	17/09/18			0.54	planning	
170	<b>Empty Homes &amp; Private Sector Housing</b>	10	4	3	17/09/18			1.01	planning	
180	<b>Property Services - Planned Maintenance</b>	15		3	23/10/18			1.43	planning	
190	Property Services - Stock & Voids	5	2	4				0.00		
200	Property Services – Stores	10	2	3				0.00		
210	ICT Security	10	4	3				0.00		
220	Building Control Service and Fees	10	3	3				0.00		
230	Planning - Development Management, Support & Advice	10		3				0.00		
240	Economic Development Service - business parks & communities	10	3	2				0.00		
250	Economic Development Service - Stansted Airport & LSP economic growth	10	3	2				0.00		
260	<b>Planning – Enforcement</b>	15	1	3	19/04/18	30/07/18	02/10/18	9.80	final	
270	Planning - Neighbourhood Plans	10		3				0.00		
280	Street Services - Trade Waste	10	1&3	3	23/04/18			3.21	testing	
<b>TOTAL AUDIT DAYS</b>		<b>295</b>						<b>103.84</b>		